

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 99,395-B)

PATENT

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TECH CENTER 1600/2900

In the Application of:

Lawn et al.

Serial No. 09/596,141

Filed: June 16, 2000

Title: Compositions and Methods for
Increasing Cholesterol Efflux and
Raising HDL Using ATP Binding
Cassette Transporter Protein ABC1

Examiner:

Group Art Unit: 1646



SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Asst. Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97-1.98, the Applicants wish to make the following reference listed on the enclosed Form PTO-1449 of record in the above-identified application. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56.

Copy of the reference cited on the Form PTO-1449 is enclosed.

WO 00/55318 21 September 2000 PCT International

The Examiner is respectfully requested to consider the reference in its entirety and to so

McDONNELL BOEHNEN HULBERT & BERGHOFF
300 South Wacker Drive, 32nd Floor
Chicago, Illinois 60606

Serial No. 09/596,141
Attorney Docket No. 99,395-B

indicate by initialing the appropriate box on the Form PTO-1449. By submission of the reference on the Form PTO-1449, no representation is intended as to the relative importance of any portion of the reference. Furthermore, such citation is not a representation that the listed reference has effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103. Applicants expressly reserve the right to establish that the aforesaid reference is not prior art, and/or is not art that is analogous or relevant to the claimed invention.

This Supplemental Information Disclosure Statement is being filed:


before the mailing date of a first Office action on the merits, pursuant to 37 C.F.R. § 1.97(b).

Please charge any underpayment or credit any overpayment in connection with this communication to Deposit Account No. 13-2490. A duplicate copy of this communication is enclosed for this purpose.

Respectfully submitted,

Dated: January 11, 2001

By:


Anita J. Terpstra
Reg. No. 47,132
McDonnell Boehnen Hulbert & Berghoff
300 S. Wacker Drive
Chicago, IL 60606

Telephone: 312-913-0001

Facsimile: 312-913-0002

GAU1646

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Our Case No.: 99,395-B)

In re Application of:

Lawn et al.

Serial No.: 09/596,141

Filed: June 16, 2000

For: COMPOSITIONS AND METHODS FOR
INCREASING CHOLESTEROL
EFFLUX AND RAISING HDL USING
ATP BINDING CASSETTE
TRANSPORTER PROTEIN ABC1



Examiner:

Group Art Unit: 1646

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TECH CENTER 1600/2900

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

TRANSMITTAL LETTER

1. We are transmitting herewith the attached:

- a) Supplemental Information Disclosure Statement (w/1 patent);
- b) PTO Form 1449; and
- c) Post Card

2. With respect to additional fees:

☒ A. No additional fee is required.☐ B. Attached is a check in the amount of \$0.00.3. **General Authorization:** Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on this 11 day of January, 2001.

Dated: January 11, 2001

By:

Anita J. Terpstra
Anita J. Terpstra
Reg. No. 47,132

McDONNELL BOEHNEN
HULBERT & BERGHOFF
300 South Wacker Drive, Suite 3200
Chicago, Illinois 60606
Telephone (312) 913-0001; Fax (312) 913-0002